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Paper Reference:	SECP_124_2301_17
Action:	For Information
<u>Acronyms and Defined Terms SEC Glossary</u>	

Operations Report – December 2023

1. Purpose

The Operations Report is a monthly update on SECAS activities undertaken in support of the Smart Energy Code (SEC) during December 2023.

2. SECAS Service highlights from December 2023

This report covers the following notable highlights from the previous month:

- **Party Engagement** – We initiated work to prepare for the inaugural Large Supplier Engagement Call which is scheduled to begin on 19 January 2024, drafted plans for a SEC Panel Budget Webinar in January 2024 with the date TBC subject to Panel outcomes and began preparations for the Intro to the SEC event which will be held before the new financial year.
- **Security and Privacy** – we facilitated a Security Incident Management workshop with DCC; help a project kick-off meeting for the 10th Iteration Security Risk Assessment; and got Panel approval to establish a Privacy Sub-Committee.
- **Tech Ops** – The team have continued to manage consultation responses for the Panel including on DCC's Business Handover Plan. We have also shared proposals for a new Operations User Forum to OPSG.
- **Change Management** – we had 38 modifications open at the end of December; more information on modifications and releases can be found in the SEC Change Update Report.
- **Project & Governance** – we facilitated 10 Panel, Sub-Committee and Working Group meetings. We have also published the monthly Industry Events Calendar. This calendar contains meetings and consultations across the SEC, DCC, DESNZ and Ofgem.

3. Recommendation

The Panel is requested to **NOTE** the contents of this paper.

Rebecca Sawyer; SECAS Team; 16 January 2024

Appendices

Appendix A – Post Commissioning Information Report (December 2023) (**RED**)

Appendix B – Programme Assurance Reporting (**GREEN**)

4. Market Entry, Exit and updates

4.1 SEC Parties

Of the existing SEC Parties within the SEC, there are:

- 18 Large Suppliers, of which there are 11 voting groups;
- 97 Small Suppliers, of which there are 84 voting groups and 53 Live Users;
- 22 Gas Network Parties, of which there are 14 voting groups;
- 31 Electricity Network Parties, of which there are 20 voting groups; and
- 157 Other SEC Parties, of which there are 134 voting groups.

4.2 Market Entry and Exit

The following presents the number of Parties who entered and exited the market over the past 12 months. It also displays the Events of Default where one SEC Party defaulted in December 2023.

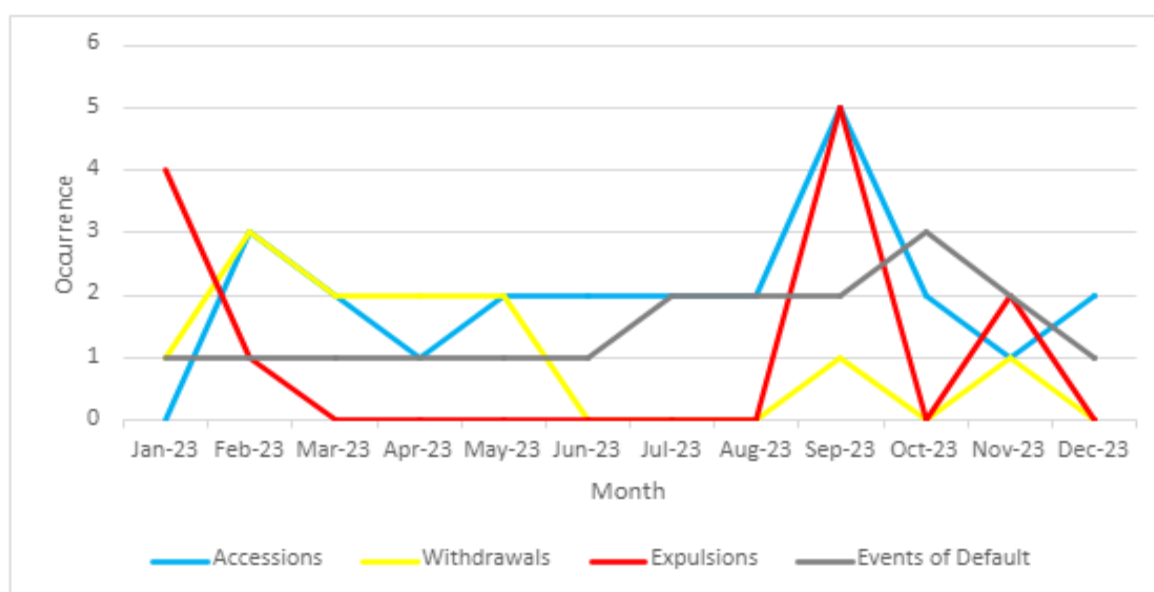


Figure 2: Accessions, withdrawals, expulsions and Events of Default in the past 12 months

In December 2023, two new Parties acceded to the SEC.

Status	Party Name	Party Category
Acceded	Code Orangery Ltd	Other SEC Party
Acceded	E E Solutions Ltd	Other SEC Party

Table 1: Parties that entered and exited the SEC in the previous month

4.3 User Entry Process (UEP) completion

No new SEC Parties completed the UEP in December 2023. All current Parties that have completed the UEP can be viewed in the [SEC Parties List](#).

4.4 Testing Updates

Since the last Panel meeting:

- No new SEC Parties have completed **SMKI and Repository Entry Process Testing (SREPT)**;
- One new SEC Party have completed **User Entry Process Testing (UEPT)**; and
- No new SEC Parties have completed **SMETS1 Eligibility Testing**.

5. Monthly SECAS Highlights

Security Statistics		Modification Statistics		Project & Governance	
100% Assessment compliance	8 SECAS Initial validations	6 New modifications raised	38 Modifications undergoing assessment	100% Meeting notice 5WD in advance	100% Papers issued 5WD in advance
5 Assurance or Compliance Status' set	2 Assessments underway	1 Modifications decided upon	1 Modifications implemented	92% Minutes issued 5WD after meeting	10 Meetings held
Party Engagement		Technical Operations			
6 LinkedIn posts	220 Active SEC newsletter readers	1 New CPL Versions	5 New CPL Entries		
2 Accessions	2 Webinars drafted	2 New PPCL / EPCL Versions	0 CPL Removals		

December 2023 Snapshot

5.1 Security and Privacy Update

- We hosted one [Security Sub-Committee](#) (SSC) meeting in December; the monthly ([SMKI PMA](#)) and the [SSC CPA Issue Resolution Sub-Group](#) (SCIRS) meeting.
- We also hosted an SSC Incident Management Workshop to address smart metering security incident scenarios outlined in the Joint Industry Cyber Security Incident Management Plan (JICSIMP). The workshop was attended by SSC Members, DCC and DESNZ.
- In accordance with SEC Appendix Z Section 6.2, the SSC has monitored progress on firmware upgrades since the CPA Certificate was withdrawn on 16 August 2019. At the December 2023 SSC meeting, the SSC agreed a minded to position that (in accordance with SEC Appendix Z Section 6.4) the CPL entries allowing the use of the firmware versions 03030245, 03030247 and 03034247 for L+G Devices will be marked as 'removed' on Friday 29 March 2024 on the Central Products List (CPL). We informed all SEC Parties and all Suppliers named Responsible Owners of this on 19 December 2023 via email and continue to liaise with all parties on this.
- The 10th Iteration of the End-to-End Security Risk Assessment project kick-off meeting was held on 7 December 2023. The SSC will appoint a Sub-Group of members to support the project throughout the duration and approve the project plan and detailed scope in the January SSC meetings.

- The SEC Panel approved the proposal to set up a Privacy Sub-Committee in accordance with SEC Section C6. Draft Terms of Reference are being presented to the January Panel for approval and membership elections are being targeted to commence on 24 January. SEC Parties will be notified accordingly, and a further reminder will be shared in the January SEC Newsletter.

5.2 Change Management Update

- At the end of December, we had 38 open proposals going through the modification framework. Six new proposals were raised in December, and we continue to work on several further new submissions from Proposers. More detailed information on modification progression and the Change Sub-Committee (CSC) and Change Board's decisions is available in the SEC Change Update Report.

5.3 Project & Governance Update

In December, we facilitated 10 Panel, Sub-Committee and Working Group meetings.

SEC Panel

- At the December SEC Panel meeting 123, the DCC provided the Panel with its proposed approach for Panel engagement in the DCC Data Service Provider (DSP) re-procurement process. The Panel Chair and DCC worked together in January to document an agreed approach for this work. The DCC will present documentation relating to the procurement in an easily digestible format.
- The DCC provided the Panel with its Strategic Outline Case for the DSP Systems Integrator (SI) to ensure the DSP's transformation to an improved platform. The Panel supported the DCC's Strategic approach and requested that the DCC clarified who is responsible for enabling DSP in the document. An update will be presented to the Panel at January 124 meeting.
- The DCC presented its proposed approach for addressing network performance in CSP.N, following network capacity modelling to 2033, noting that the current contract for Phase 1 will need to be adapted to meet the requirements.
- SECAS provided the Panel with its draft response to the Ofgem Consultation on the DCC Price Control for the regulatory year and the Panel discussed how Finance discussions could be improved. The Chair reviewed this ex-committee with Ofgem colleagues on 16 January.
- SECAS provided the Panel with its initial proposal for a Privacy Sub-Group. The Panel agreed for SECAS to progress with the next steps for establishing the arrangements, noting its preference for a new Sub Committee, rather than a Sub Group to be established. SECAS will present the draft Terms of Reference at the January Panel meeting for approval.
- SECAS provided the Panel with the SEC Panel Draft Budget, proposed for 2024-27. The Panel approved the draft 2024-27 budget, subject to the outstanding areas highlighted, to be published for SEC Party consultation in January 2024.
- SECAS provided the Panel with an update on the progress since Panel approved the SMDA Project Brief at its October meeting. The Panel approved the SMDASC recommendation for the High-Level requirements to be issued for industry consultation.

CTG

- The Communications Transition Group will have its eleventh meeting on 22 January and therefore no update is provided in the Operations report this month.

The Industry Events Calendar is published on the SECAS website on a monthly basis. The January version can be found on the bottom of the meetings calendar page on the website [here](#).

The monthly Programme Assurance Report to update the SEC Panel and SEC Parties monthly on the status of the DCC Programmes is provided as Appendix B. It outlines activity carried out since the last update, key milestones and planned engagement over the next quarter as well as changes to the stages of the Programmes and artefacts received as part of the programme life-cycle.

5.4 Party Engagement Update

- A Large Supplier Engagement Call has been requested following feedback received by SECAS, so following this our first Large Supplier Engagement Call will be scheduled to take place on 15:00 – 16:00 21 January 2024. Frequency of these calls will be assessed after the inaugural call has taken place.
- A SEC Panel Budget webinar has been in development which is scheduled to take place in January 2024 which will help SEC Parties and other audience members understand the breakdown of the SEC Panel Budget and how this will affect SEC Parties going forward.
- SECAS has been drafting content for the next edition of the Intro to the SEC event which is currently planned to take place before the new financial year. More information will become available in due course once details have been finalised.
- The latest SEC Newsletter received 220 views. You can register to receive the monthly SEC Newsletter by using the registration form at the bottom-right of this [webpage](#). All previous newsletters can be viewed [here](#).

The [SECAS Helpdesk](#) offers an email and telephone service to anyone requiring advice. Most contact is made by email, with volumes illustrated in Figure 3. We received 12 telephone queries over the past month.

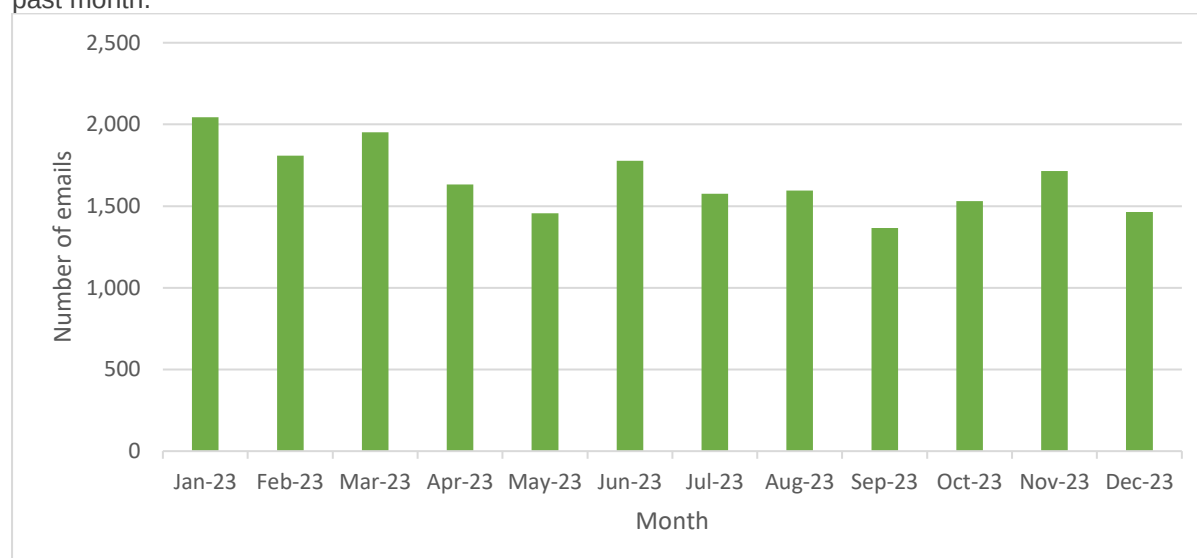


Figure 3: SECAS Helpdesk Emails over the past 12 months

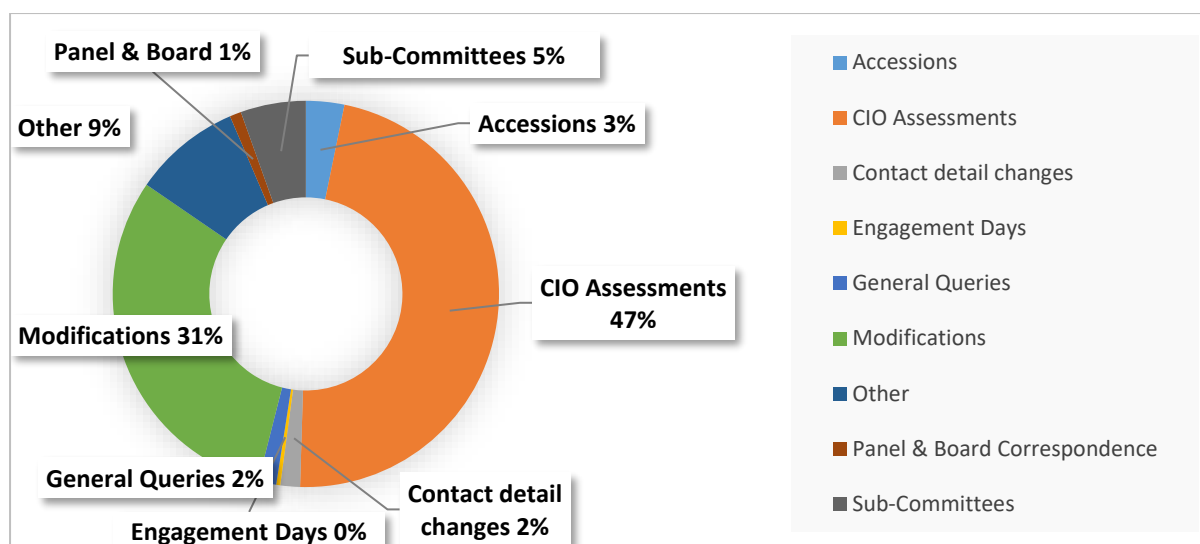


Figure 4: The number of SECAS Helpdesk emails received per topic in the previous month

- The SEC website received over 22,880 page visits in December 2023. The top five viewed pages in the month were:
 - [Home Page](#)
 - [The Smart Energy Code](#) - (Codeworks, Consolidated SEC & SEC Document Log)
 - [Modifications](#) - (Modifications Tracker)
 - [My Login](#)
 - [Document Download Centre](#)

5.5 Technical Operations Update

The Technical Operations team in SECAS provides support to the Panel Sub-Committees. This month we have:

- Prepared draft responses for the Panel and Sub-Committees on:
 - [The DCC Business Handover Plan](#)
 - [Proposals from DESNZ on an internet connected CAD \(CADg\) where there is no WAN proposals – response due 15 Jan 2024](#)
 - [Changes to the Intimate Comms Hub Interface Specification from DCC.](#)
- [Developed and shared proposals for a new Operations User Forum to allow DCC Users to engage with counterparts to share information on operational issues](#)

The team also oversees the production and publication of several Products lists. The graph below sets out the number of SEC product list versions which SECAS has published over the past 12 months by type. This month the DPL was the most produced list:

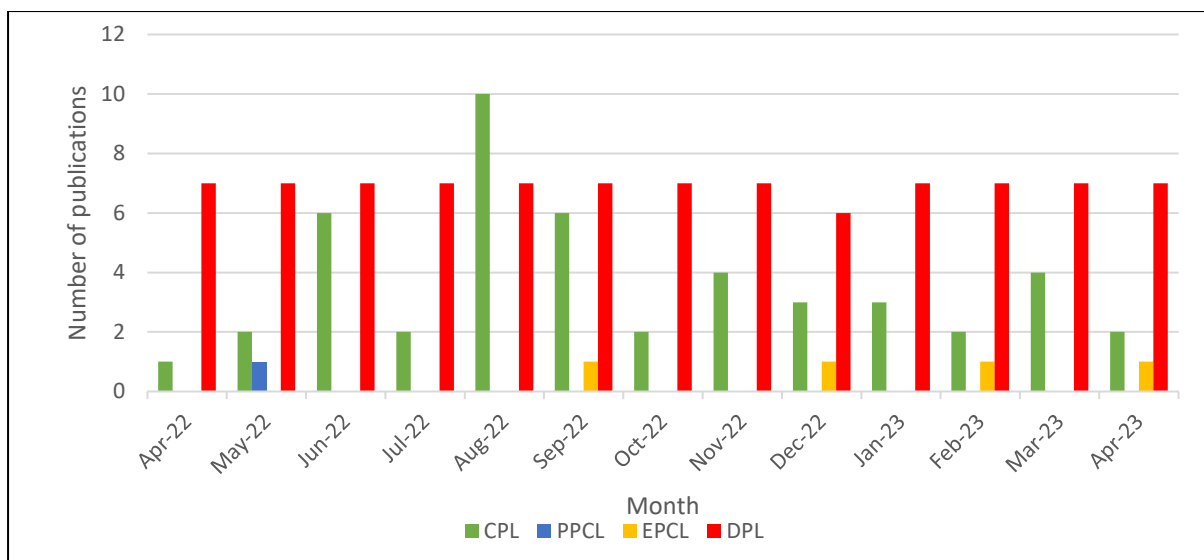


Figure 5: The number of lists that SECAS has produced each month, over the past 12 months.

Useful links: [Central Products List](#), [Pending Products Combination List](#), [Eligible Products Combination List](#) and [Deployed Products List](#)

5.6 DCC SEC Panel Reports Summary

The Operations Group (OPSG) conduct a monthly review of the DCC SEC Panel Reports that have been delegated by SEC for the OPSG to approve or reject the commentary and raise any further issues that should be highlighted to the Panel. The Security Sub-Committee also reviews the Post-Commissioning Report.

Post-Commissioning Report

The DCC will present the latest Post-Commissioning Report for December 2023 to the SSC on 24 January.

This report has a **RED** classification and distribution is limited to the SEC Panel only provided as Appendix A – Post Commissioning Information Report (December 2023) (**RED**).

Performance Measurement Report (PMR) October 2023

- Two Code Performance Measure (CPM) were reported below Target Service Level: CPM3A CSP C&S (Power Outage Alerts) continued to be below the Minimum Service Level at 89.68% for the seventh month in a row. Similarly, Performance indicator 1 (Outage and Restoration Alerts) failed to achieve Minimum Service level in September for all SMETS2 regions for a seventh month in a row. Performance in these areas is being investigated by DCC and User expert groups.
- CPM4 which measures success in resolving Category 1 and 2 Incidents achieved 50.00% performance with the DCC reporting that CPM4 failed following 2 Major Incident closures in the October reporting period.
- The report notes that there was one Category 1 Incident and one Category 2 Incident closed in October 2023. No key Incident was excluded from the October 2023 performance.
- The Service Levels achieved for Code performance measures CPM1, CPM2, CPM3, CPM3A CSPN, CPM5, CPM6 CPM7 and CPM8 are all above the Target Service Level for October 2023.

5.7 Smart Meter Device Assurance (SMDA) Update

There are currently 43 Devices on the Device Assurance Register (DAR) split out by Device type as:

- 28 ESMEs
- Six PPMIDs
- Nine GSMEs

5.8 SEC Meeting Details

The table below contains links to the [SEC meetings](#) (and their associated documents) held in **December 2023**:

Date	Meeting
4 Dec	Strategic Working Group 18
6 Dec	SEC Monthly Working Group Meeting - December 2023
7 Dec	TABASC Meeting 96
11 Dec	Communications Transition Group 10
12 Dec	Operations Group 108
13 Dec	SECPMA Meeting 112
13 Dec	SSC Meeting 183
14 Dec	SEC Ad-Hoc DP218 Meeting - December 2023
15 Dec	Other SEC Party Monthly Engagement Call – December 2023
19 Dec	SEC Panel Meeting 123
19 Dec	Change Sub-Committee Meeting 58
20 Dec	Change Board Meeting 85

Table 2: A summary of all SEC meetings held in the previous month